

PROVIDE THE FOLLOWING INFORMATION AS SEPARATE EXHIBITS AND LABEL AS INDICATED.

A-8 Exhibit A-8: Principal Officers, Directors and Partners

Provide the names and titles of the Applicant's principal officers, directors, partners or other similar officials. Provide the percentage of ownership of each owner or partner whose ownership percentage exceeds 20%.

A-9 Exhibit A-9: Corporate Structure

Provide a description of the Applicant's operating corporate structure, including an organizational chart of such structure including a list of all parent, affiliate and subsidiary operating companies.

A-10 Exhibit A-10: Company History

Provide a description of the Applicant's:

- a. Company history and principal business interests including number of permanent employees (as of the date of Application); and
- b. The total annual revenue for the past three (3) years.

If the Applicant provides an audited financial statement in Section C-3, the Applicant does not have to provide the annual revenue requested in A-10-b.

B. APPLICANT'S MANAGERIAL AND TECHNICAL EXPERIENCE

B-1 Exhibit B-1: Jurisdiction of Operations

Provide a list of all states in which the Applicant is currently certified, licensed, registered or otherwise authorized to provide renewable electric generation leasing services.

B-2 Exhibit B-2: Operating Experience

Provide the following information on a per state basis for the most recent five (5) year period:

- a. Total number of renewable electric generation leases executed;
- b. Total number of residential and commercial customers provided leasing services;
- c. Scale of facility installation – median kW DC;
- d. Expected average annual electric output and average actual electric output of leased facilities; and
- e. Better Business Bureau (“BBB”) rating and website location to support the BBB rating.

Applicants with less than one (1) year of operating experience must provide a Business Plan (Exhibit C-4).

B-3 Exhibit B-3: Technical Experience

Provide the following information:

- a. Description of the installation process. Include a representative sample list of the electric generation technology used by the Applicant for leased installations including make, model, manufacturer and year of manufacture; and

- b. Description of operations and maintenance service to be provided under the lease to include, at a minimum, the following services:
 1. Monitoring and inspection of the installed facility;
 2. Warranty of service, materials and installation;
 3. Insurance coverage of installed facilities; and
 4. Operations and maintenance duties that must be performed by the lessee.

B-4 Exhibit B-4: Customer Service

Provide the following information:

- a. Description of marketing process and timeline for sales activities;
- b. Description of customer billing process;
- c. Description of process to resolve customer complaints and inquiries;
- d. Days and times Applicant's customer service representatives are available to resolve customer complaints and inquiries;
- e. Identify if the following actions related to the lease could occur:
 1. Sale or assignment of the lease to another company;
 2. Termination or default of the lease; and
 3. Customer right to rescind after signing the lease.
- f. Description of Applicant's privacy and security practices related to customer data.

B-5 Exhibit B-5: Key Technical Personnel

For all contractors used by the Applicant to perform services related to renewable electric generation leasing including, but not limited to, installation, inspection, maintenance, marketing, customer sales and customer service, the Applicant must provide with its Application all of the following information for each contractor:

- a. The legal name of the party with which the Applicant is contracting;
- b. The mailing address, e-mail address and telephone number of the entity;
- c. A statement that a valid contract exists between the Applicant and the entity; and
- d. A summary of the contract(s) including all services provided.

B-6 Exhibit B-6: Disclosure of Liabilities and Investigations

Provide a description (including court docket numbers) of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority and regulatory investigations that could adversely impact the Applicant's financial or operational status or ability to provide renewable electric generation leasing services in South Carolina.

B-7 Exhibit B-7: Disclosure of Consumer Protection Violations

Provide a detailed description (including court docket numbers) of all existing, pending or past rulings and judgments related to fraud or violation of any consumer protection or antitrust laws within the past five (5) years. This disclosure is required of the Applicant and predecessor to the Applicant.

B-8 Exhibit B-8: Customer Documents

Provide a copy of any lease agreement, cancellation notice, lease summary, lease overview, terms and conditions, and all corresponding exhibits, affidavits and attachments that a customer may be expected to review and/or sign prior to the

provision of renewable electric generation leasing service. At a minimum the lease agreement must conform to the standards set under the Federal Consumer Leasing Act.

Provide a representative sample of all printed marketing materials used to solicit customer business which may include, but is not limited to, a customer proposal and marketing packet. If digital marketing materials are used by the Applicant, provide the website address for the samples.

C. APPLICANT'S FINANCIAL EXPERIENCE

C-1 Exhibit C-1: Annual Reports

Provide the most recent Annual Report to Shareholders. If Applicant does not generate Annual Reports, the Applicant should indicate that Exhibit C-1 is not applicable and explain why Exhibit C-1 is not applicable.

C-2 Exhibit C-2: SEC Filings

Provide the most recent 10-K/8-K Filings with the Securities and Exchange Commission ("SEC"). Applicant may provide the Filings via a hyperlink to the Filings located on their company website. If Applicant does not generate 10-K/8-K Filings, they may submit those of their parent company. If Applicant or its parent company does not generate 10-K/8-K Filings, the Applicant should indicate that Exhibit C-2 is not applicable and explain why Exhibit C-2 is not applicable.

C-3 Exhibit C-3: Financial Statements

Provide the three (3) most recent years of audited financial statements (balance sheet, income statement and cash flow statement). If audited financial statements are not available, Applicant may provide financial statements certified by a principal officer. If the Applicant has not been in business long enough to satisfy this requirement, audited or certified financial statements covering the life of the business shall be provided. All financial statements submitted with Application must be certified.

C-4 Exhibit C-4: Business Plan

For Applicants with less than one (1) year of operating experience with renewable electric generation leasing service, provide a two (2) year Business Plan for renewable electric generation leasing services. The Business Plan should include financial statements (balance sheet, income statement and cash flow statement). Provide a list of Business Plan assumptions and the contact information (name, mailing address, e-mail, and phone number) of the preparer of the Business Plan.

C-5 Exhibit C-5: Credit Report and Credit Rating

Provide a copy of the Applicant's most recent credit report from a national credit reporting agency such as Equifax, Experian, or Trans Union. The credit report must include the Applicant's FICO credit score.

Provide a copy of the Applicant's credit rating as reported by the following organizations (or similar):

- a. Duff & Phelps
- b. Dun and Bradstreet Information Services

- c. Fitch IBCA
- d. Moody's Investors Services
- e. Standard & Poor's

The credit rating of a parent company may be submitted provided the Applicant submits a statement signed by a principal officer of the Applicant's parent company that guarantees the obligations of the Applicant.

If the Applicant does not have a parent company and no credit rating, an explanation and documentation to support an alternative guarantee and assurance that such obligations under its leasing contracts will be fulfilled may be submitted. Such explanations and documentation must demonstrate that the alternative guarantee does not create additional liability.

C-6 Exhibit C-6: Bankruptcy Information

If the Applicant or its parent company has ever filed for bankruptcy protection, provide a description including the docket number, name on case and court where the bankruptcy was filed. Identify the legal liabilities yet to be paid by the Applicant or parent company as of the date of the Application. Legal liabilities may include, but are not limited to, liens, payment plans and legal judgments.

C-7 Exhibit C-7: Discounts, Fees and Charges

Provide a list of the current discounts, fees and charges that the Applicant may assess to a customer including, but not limited to, basic monthly charge for service, automatic payment discount, returned check fee, late payment fee, inspection fee, product change fee, and deposit.

C-8 Exhibit C-8: Lease Escalation Rate

Provide a description and example of how the Applicant will calculate the annual escalation rate for customer leases.

C-9 Exhibit C-9: Incentives, Tax Credits, Renewable Energy Certificates and Rebates

Provide a list of all known and expected incentives. These include, but are not limited to renewable energy certificates ("RECs"); other environmental credits, such as carbon-reduction credits; tax incentives, such as the Investment Tax Credit; federal, state, and local incentives; and, other forms of incentives, such as rebates obtained, sought, or expected to be sought by the Applicant. Identify if the incentive, tax credit, REC or rebate is monetized to or shared with the leasing customer and, if so, describe how and over what time period. Identify which party (Lessor or Lessee) retains ownership of the RECs.

D. APPLICANT'S AFFIDAVIT

State of: _____

County of: _____

_____, Affiant, being duly sworn/affirmed according to the law, deposes and says that: He/She is the _____ (Principal Officer) of _____ (Name of Applicant):

That he/she is authorized to and does make this affidavit for said Applicant.

The Applicant herein, attests that the Applicant:

- 1) Has provided information in this Application that is true and complete in all material respects. If there are material changes during the pendency of the Application, the Applicant will notify ORS of the change and, if necessary, provide documentation.
- 2) Will comply with all aspects of S.C. Code Ann. § 58-27-2600 *et. seq.*
- 3) Will cooperate fully with the South Carolina Office of Regulatory Staff on any matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 4) Will comply with S.C. Code Laws § 58-27-2630, which requires the Applicant to register renewable electric generation facilities with the South Carolina Office of Regulatory Staff within 30 days of installation.
- 5) Has a sufficient financial arrangement to support leasing as a sustainable business activity. Financial arrangements include, but are not limited to guarantees, bank commitments, contractual arrangements, and credit agreements.
- 6) Will comply with all state and/or federal rules and regulations concerning consumer protection, advertising and promotions.
- 7) Will comply with the interconnection standards required by the electric provider and state law.
- 8) Will require the installation of facilities to be conducted by personnel who meet or exceed all applicable state and local licensing requirements including, but not limited to, the North American Board of Certified Energy Practitioners (“NABCEP”), Interstate Renewable Energy Council (“IREC”), and Underwriter’s Laboratories - International Brotherhood of Electrical Workers (“UL-IBEW”) certifications.

- 9) Will require all personnel installing facilities to maintain proper bonding, liability and worker’s compensation insurance.
- 10) Will require all facility installations to meet or exceed the safety and performance standards of the National Electric Code (“NEC”), the National Electrical Safety Code, the Institute of Electrical and Electronics Engineers (“IEEE”), Underwriters Laboratories (“UL”) and the Federal Energy Regulatory Commission (“FERC”).
- 11) Will require all facility installations to be in accordance with the specifications of county and municipal permits.
- 12) Will inform the South Carolina Office of Regulatory Staff during the pendency of the Application and after a Certificate is granted of any material change to the information supplied in the Application within 90 days of such material change.
- 13) Will not transfer or assign the Certificate granted to the Applicant under S.C. Code Ann. § 58-27-2600 *et. seq.* without prior approval from the South Carolina Office of Regulatory Staff.

I, _____, verify under penalty of perjury under the laws of the State of South Carolina, that all information supplied or relating to this application is true and correct. I know that willful misstatements or omissions of material fact constitute criminal violations punishable by imprisonment and fines as prescribed by law. (Note: This oath applies to all schedules and supplemental filings to this application).

SWORN TO BEFORE ME

This _____ day of _____, 20 _____

Applicant's Printed Name _____

Applicant's Signature _____

Notary Public _____

Commission Expires _____